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Application Number:	10042371				
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Title of Invention:	Systems and methods for creating and trading dynamic securities				
First Named Inventor/Applicant Name:	Andrew C. Gilbert				
Correspondence Address:	MARY ANN GILBERT  - 5 SCARLET OAK ROAD  - CALIFON NJ 07830  US				
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File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Transmittal Letter	Transmittal Letter	01-1046_100226_IDS.pdf	42959	no	5
	01 1040_100220_I <i>b3</i> .pd1	dc29d700b9de8e1527cee39ef4bb30cc711 42d81	110		
Warnings:					
Information:					
Information Disclosure Statement (IDS) Filed (SB/08)	01-1046_100226_1449.pdf	25390	no	1	
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Information:					
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3 NPL Documents	09927628_OA_02-27-2009.pdf	356652	no	10	
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Warnings:					
Information:					
4	NPI Documents	09927628_OA_12-21-2009.pdf	393804	no	11
4	4 NPL Documents		1f9f3ecd0a88c07cadf61b31a9af93089c8c0 716		
Warnings:					
Information:					
5	NPL Documents	12119604_OA_08-31-2009.pdf	464662	no	12
			63f3cfc04cf7e9148b855d22b87f85b0a76f4 650		
Warnings:			·		
Information:					
6 NPL Documents	60228142_AP.pdf	247213	no	5	
	J seae.	00220142_/ti.pui	98c85914ac672c9f1e7f9cfc385a41a5b08c2 83e	110	
Warnings:					
Information:					
7 NPL Documents	ISR_PCTUS01-25022_12-26-200	124777	no	3	
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8 NPL Documents	IPER_PCTUS01-25022_03-04-20 02.pdf	139457	no	3	
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Information:					

		Total Files Size (in bytes):	248	4307	
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13	NPL Documents	ISR_PCTUS02-40814_09-08-200 3.pdf	57140 d2952b34ceeedd23ef9eea5a0dee026430e b96c6	no	1
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Information:					
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12	NPL Documents	GB0416208-7_Exam_Report_1 2-09-2004.pdf	240999 07a7913789cee4b16043acc4a063d2fa024	no	2
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11 NPL Documents	8-06-2004.pdf	d6da0a917fd4597026575c7feee33d32e08 6f8a2	110		
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10 NPL Documents	NFL Documents	1-20-2004.pdf	28abcaa73fd9f165ae4453b7d542584f1035 bb89	no	3
	NDI Danimanta	GB0304530-9_Exam_Report_0	117595		_
Information:					
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9 NPL Documents	22_11-21-2002.pdf	73765a4970262c4e6f2c3d4d05c1c3c01320 685f	no	4	
	NDI D	Written_Opinion_PCTUS01-250	157958		

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.